



INITIAL VESSEL APPLICATION - FEDERAL FISHERIES PERMIT INSTRUCTIONS

In Sections 1 and 2, fill in ALL items.

Mail the completed application to: National Marine Fisheries Service (NMFS), Permit Office, One Blackburn Drive, Gloucester, MA 01930-2298. **No walk-in service is available.** There is a 30 day processing period, and you should receive your permit within this time limit. **Questions? Please refer to the NMFS Northeast Region Web Page at www.nero.nmfs.gov for applications, instructions, fisheries regulations and other important information, or call (978)281-9278.**

SECTION 1: VESSEL/OWNER INFORMATION

Enter information in the spaces provided. All items must be completed.

Vessel Name: Current name of vessel or enter "unnamed" if not applicable.

Owner Name: Include name exactly as it appears on the vessel ownership documentation (U.S. Coast Guard Documentation, State Registration, or Title of Vessel Ownership). If corporation or partnership, submit current documentation from the State of Incorporation verifying corporate status or Partnership Agreement. (See Section 5 for additional documents required).

Address and Telephone Number: Include new 4-digit addition to zip code and area code for phone number.

Coast Guard Documentation or State Registration Number: **You must provide a photocopy of vessel's current U.S. Coast Guard documentation or State Registration with your application. (See Section 5 for additional documents required).**

Hailing Port: City and State of vessel mooring location.

Principal Port: City and State where the majority of your landings occur.

SECTION 2: VESSEL INFORMATION

Enter information in the spaces provided. All items must be completed.

Vessel length: Overall length in feet.

Crew Size: Average number of crew, including the captain.

Gross Tonnage: As recorded on US Coast Guard Documentation.

Year Built: The year the vessel was built.

Main Engine HP: The vessel engine horsepower.

Fish Hold Capacity: Measured to the nearest 100 pounds.

Dredge Size: Dredge size in feet.

Number of Dredges: Total number of dredges on board the vessel.

Pump Horsepower: This item applies to surf clam/ocean quahog vessels only.

Construction: 1=Wood, 2=Fiberglass, 3=Steel, 4=Other.

Propulsion: 1=Gasoline, 2=Diesel, 3=Other.

Number of Passengers for Charter or Party: Number of passengers the vessel is licensed to carry. (See Section 5 for additional documents required).

SECTION 3: FISHERY INFORMATION

Except as noted for limited access fisheries, you may select a fishery, category or gear code by entering the information in the spaces provided. Select the fishery permit categories from the table below that best describe your fishing operations and write the number in the column marked "Category". For each fishery, select a gear code from the Gear Code table, printed below, and write the gear code number in the column marked "Gear".

GEAR CODES (Shaded)

120	Purse Seines	330	Other Trawls	912	Rod and Reel
210	Beach Seines	410	Dredge	920	Longlines or Setlines
220	Boat Seines	700	Gill or Entangling Nets	1010	Harpoons
310	Bottom Trawls	800	Pots and Traps	1200	Other Gear
320	Mid-water Trawls	911	Hand Lines	1400	Diving Gear

FISHERY PERMIT CATEGORIES (Shaded)

ATLANTIC SEA SCALLOP

Open Access Permit: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

- 1** General: Retain or land no more than 400 lbs. of shucked scallops, or 50 US bushels of in-shell scallops, per trip.

Limited Access Permits: These permits may only be renewed by vessels that have previously held them, or to approved replacements of such vessels. Please note: All permits issued will be valid for the entire fishing year. A vessel owner has 45 days from the first effective date of their permit within a permit year to make a change to the permit category. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- 2** Full-Time*

- 3** Part-Time*

- 4** Occasional

- 5** Full-Time - Small Dredge*: Category 3 (Part-Time) vessel may elect this category for the entire year. Fish for scallops using one dredge no larger than 10.5 ft. and a crew no larger than five.

- 6** Part-Time - Small Dredge*: Category 4 (Occasional) vessel may elect this category for the entire year. Fish for scallops using one dredge no larger than 10.5 ft. and a crew no larger than five.

- 7** Full-Time - Authorized to use trawl nets*

- 8** Part-Time - Authorized to use trawl nets*

- 9** Occasional - Authorized to use trawl nets

*Vessel Monitoring System (VMS) Required to be in continuous operation onboard the vessel.

NORTHEAST MULTISPECIES

Regulated species	Cod, haddock, yellowtail flounder, pollock, redfish (ocean perch), winter flounder (blackback), American plaice (dab), witch flounder (grey sole), windowpane flounder (sand dab) and white hake
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Other multispecies	Silver hake (whiting), red hake (ling), offshore hake, ocean pout and Atlantic halibut (vessels may land or possess on board no more than one Atlantic halibut per trip)
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Limited Access Permits: These permits may only be renewed by vessels that have previously held them, or to approved replacements of such vessels. Please note: All permits issued will be valid for the entire fishing year. A vessel owner has 45 days from the first effective date of their permit within a permit year to make a change to the permit category. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

A Individual DAS

B Fleet DAS

C Small Vessel Exemption: (less than or equal to 30')

D Hook Gear: (Vessels in this category may not change category)

E Combination Vessels: (Vessels in this category may not change category)

F Large Mesh Individual DAS: Category A (Individual DAS) or E (Combination) vessels may elect this category for the entire year. Fish for multispecies groundfish no more than 36 percent beyond the DAS allocations specified on vessel's DAS allocation for Categories A or E. Must fish either gillnet gear with a minimum size 7" diamond mesh or trawl gear with a minimum size 8" diamond mesh.

G Large Mesh Fleet DAS: Category B (Fleet DAS) vessel may elect this category for the entire year. Fish for multispecies groundfish using no more than 120 DAS. Must fish either gillnet gear with a minimum size 7" diamond mesh or trawl gear with a minimum size 8" diamond mesh.

Area Declaration: Please note. Vessels that fish exclusively south of the Gulf of Maine (GOM) may also request a Gulf of Maine Cod Trip Limit Exemption Certificate by calling the Permit Office at (978) 281-9370.

Open Access Permits: **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

H Handgear: Retain up to 300 lbs. combined weight of cod, haddock and yellowtail flounder, one Atlantic halibut of at least 36 inches in size, and unlimited amounts of other Northeast multispecies provided that only rod and reel or handline gear is used or possessed on the vessel while in possession of, fishing for, or landing Northeast multispecies, and provided that there is at least one standard tote on board.

I Charter/Party: Fish for or retain multispecies in the EEZ when carrying passengers for hire (See Section 5 for additional information).

J Scallop Multispecies Possession Limit: Retain up to 300 lbs. of regulated species while fishing under a scallop DAS. Vessel must have a Limited Access Scallop Permit to qualify for this category.

K Open Access Multispecies: Fish for: silver hake (whiting), red hake (ling), offshore hake, ocean pout or Atlantic halibut (vessels may land or possess on board no more than one Atlantic halibut per trip, at least 36 inches) when participating in an exempted fishing program.

MONKFISH

Limited Access Permits: These permits may only be renewed by vessels that have previously held them, or to approved

replacements of such vessels. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- A** Vessels that were issued this category in the previous fishing year, which do not possess a limited access multispecies or scallop limited access permit.
- B** Vessels that were issued this category in the previous fishing year, which do not possess a limited access multispecies or scallop limited access permit.
- C** Vessels that were issued this category in the previous fishing year, which also possess a limited access multispecies or scallop limited access permit.
- D** Vessels that were issued this category in the previous fishing year, which also possess a limited access multispecies or scallop limited access permit. Limited access scallop vessels must be less than 51 Gross Registered Tons to be eligible.

Area Declaration: Please note. Vessels that fish exclusively in the Northern Fishery Management Area for monkfish may also request a Southern Fishery Management Area Exemption Certificate by calling the Permit Office at (978) 281-9370.

Open Access Permit: This permit may be issued to any vessel not issued a monkfish limited access permit to fish for, possess, or land monkfish. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- E** Incidental Catch - Allow a vessel to retain a limited amount of monkfish depending on fishing year, area fished and gear fished. For specific limit amounts please contact the Fisheries Information Line at (978) 281-9278.

SURFCLAM/OCEAN QUAHOG

Open Access Permits: **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- 1** Surfclam: Harvest surfclams*.
- 6** Ocean Quahog: Harvest ocean quahogs*.

* To harvest and land surfclams or ocean quahogs, an allocation of quota is required. For more information call (978) 281-9262.

Limited Access Permit: These permits may only be renewed by vessels that have previously held them, or to approved replacements of such vessels. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- 7** Maine Mahogany Quahog: Harvest ocean quahogs without an allocation in Federal waters in the area north of latitude 43E50'N.

SUMMER FLOUNDER (FLUKE)

Limited Access Permit: This permit may only be renewed by vessels that have previously held one, or to approved replacements of such vessels. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- 1** Commercial Moratorium

Open Access Permit: **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- 2** Charter/Party: Fish for or retain summer flounder in the EEZ while carrying passengers for hire (See Section 5 for additional information).

SCUP (PORGY)

Limited Access Permit: This permit may only be renewed by vessels that have previously held one, or to approved replacements of such vessels. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

1 Scup Moratorium

Open Access Permit: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

2 Charter/Party: Fish for or retain scup in the EEZ while carrying passengers for hire (See Section 5 for additional information).

BLACK SEA BASS

Limited Access Permit: This permit may only be renewed by vessels that have previously held one, or to approved replacements of such vessels. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

1 Black Sea Bass Moratorium: Fish commercially north of 35°15.3' N. latitude.

Open Access Permit: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

2 Charter/Party: Fish for or retain black sea bass north of 35°15.3' N. latitude while carrying passengers for hire. (See Section 5 for additional information).

SQUID, MACKEREL, AND BUTTERFISH

Limited Access Permits: This permit may only be renewed by vessels that have previously held one, or to approved replacements of such vessels. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

1 Loligo/Butterfish Moratorium

5 Illex Squid Moratorium

Open Access Permits: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

2 Squid/Mackerel/Butterfish Charter/Party: Fish for or retain Loligo or Illex squid, or butterfish in the EEZ while carrying passengers for hire (See Section 5 for additional information).

3 Squid/Butterfish Incidental Catch: Fish commercially for up to 2,500 lbs of Loligo squid or butterfish and 5,000 lbs of Illex squid per trip.

4 Atlantic Mackerel: Fish commercially for Atlantic mackerel in the EEZ.

SPINY DOGFISH

Open Access Permit: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

1 General: To commercially fish for, possess, or land spiny dogfish caught in or from the EEZ.

ATLANTIC BLUEFISH

Open Access Permit: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

- 1 Commercial: Possess or harvest Atlantic bluefish from the EEZ in excess of the daily possession limit.
 - 2 Charter/Party: Fish for or retain Atlantic bluefish while carrying passengers for hire. (See Section 5 for additional information).
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ATLANTIC HERRING

Open Access Permit: Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

- 1 For vessels that caught more than 500 mt of Atlantic herring in the last calendar year, or intend to catch more than 500 mt of Atlantic herring during this calendar year. **An operational Vessel Monitoring System is required to be onboard the vessel for this category** (See Section 5 for additional information).
 - 2 For vessels that did not catch more than 500 mt of Atlantic herring in the previous calendar year, or will not catch more than 500 mt of Atlantic herring during the current calendar year.
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AMERICAN LOBSTER

Limited Access Permits: These permits may only be renewed by vessels that have previously held them, or to approved replacements of such vessels. If eligible, you may select more than one category. **Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.**

- 1 Commercial - Non-Trap. Harvest lobsters without using traps or pots (gear code 800).
- 2 Charter/Party - Non-Trap. Harvest lobsters without using traps or pots (gear code 800).
- A1 Commercial - Trap Gear Area 1. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 1.
- A2 Commercial - Trap Gear Area 2. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 2.
- A23 Commercial - Trap Gear Area 2/3 Overlap. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 2/3 Overlap **(You must also select Area 2, Area 3 or both areas in order to be issued this category).**
- A3 Commercial - Trap Gear Area 3. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 3.
- A4 Commercial - Trap Gear Area 4. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 4.
- A5 Commercial - Trap Gear Area 5. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 5.
- A6 Commercial - Trap Gear Area 6. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Area 6. **Vessel owner must possess a valid CT or NY state lobster license to be eligible for this category.**
- AOC Commercial - Trap Gear Outer Cape Area. Harvest lobster using gear 800 (pots and traps) in Federal Lobster Management Outer Cape Area.

SECTION 4: OWNER'S SIGNATURE

Please sign the form. Unsigned or otherwise incomplete applications will be returned. Your signature is an affirmation under penalty of perjury, that all the information provided in obtaining this permit is true and correct.

SECTION 5: ADDITIONAL DOCUMENTS REQUIRED

- 1 **CERTIFICATE OF INCORPORATION, PARTNERSHIP AGREEMENT OR ANNUAL REPORTS** - If the vessel is owned by a corporation or a partnership, the following information must be submitted with the renewal form:
 - C** A copy of the Certificate of Incorporation must be submitted once. Each year, documents showing current corporate status and all officers and shareholders owning 25% or more of the shares in the corporation must be submitted
 - C** The list of corporate officers and shareholders must include their addresses (examples of acceptable documentation include Minutes from the Corporation Annual Meeting listing the names and addresses of the officers and stockholders, or a signed and dated letter from a Corporate Officer listing the names and addresses of current officers and stockholders)
 - C** Partnerships must submit a copy of the partnership agreement once. Each year, the names and addresses of all partners must be submitted
- 2 **SCALLOP VESSELS OWNED** - If you are renewing a limited access sea scallop permit, you must attach a list of the following information for all vessels in which you have an ownership interest and that hold limited access sea scallop permits. You may use the attached form for your convenience.
 - < Owner names - Not Business or Corporate Names
 - < Vessel names and permit numbers
- 3 **USCG DOCUMENTATION** - A copy of the vessel's current US Coast Guard Documentation or, if undocumented, current state registration.
- 4 **CHARTER/PARTY PERMIT** - If you hold or are applying for a charter/party permit, you must submit a copy of the vessel's current charter/party license. A copy of one of the following documents is acceptable:
 - < Current state license showing the vessel is authorized to carry charter/party passengers
 - < Current Coast Guard Documentation that shows the vessel is presently documented for "Coastwise"
 - < Current US Coast Guard license to operate or navigate passenger carrying vessels
5. **ATLANTIC HERRING FISHERY** - If your vessel caught more than 500 mt of Atlantic herring in the previous fishing year, or if your vessel intends to catch more than 500 mt of Atlantic herring during the current fishing year, please include a statement so stating and attach a copy of a vendor installation receipt from a NMFS-approved Vessel Monitoring System (VMS) vendor, as described in Section 648.9 of the regulations for the Fisheries of the Northeastern United States.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing & reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: National Marine Fisheries Service, Permit Office, 1 Blackburn Drive, Gloucester, MA 01930-2298. The Paperwork Reduction Act requires that potential respondents for a fishing vessel permit be notified of certain information regarding their rights and the burden associated with applying for Federal fisheries permits. One of the regulatory steps taken by the National Marine Fisheries Service to carry out its conservation and management objectives is the requirement of a permit for users of the resources. All the fisheries included on this application require a permit to fish for or retain these species in or from the EEZ, Section 303 (b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) specifically recognizes the need for permit issuance. The purpose and use of these permits are to: (1) register industry participants and fishing vessels, (2) list the characteristics of fishing vessels, (3) exercise influence over compliance, (4) provide a mailing list for the dissemination of important information to the industry, (5) register participants to be considered for limited entry, and (6) provide a universe for data collection samples. Both the MSFCMA and Executive Orders 12866 et al. require the determination of this information. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Any information submitted by any person to obtain a permit is not confidential, and may be disclosed upon request.

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U. S. DEPARTMENT OF COMMERCE
NATIONAL OCEANIC & ATMOSPHERIC ADMIN
NATIONAL MARINE FISHERIES SERVICE
PERMIT OFFICE
ONE BLACKBURN DRIVE
GLOUCESTER, MA 01930-2298
Tel: (978) 281-9370



INITIAL VESSEL APPLICATION
FEDERAL FISHERIES PERMIT

MONTH : _____ YEAR : _____

Section 1 - VESSEL/OWNER INFORMATION

Vessel Name: _____
Owner Name: _____
Street Address: _____
City: _____ State: _____ Zip Code: _____
Owner Telephone: _____
CG Documentation or State Registration Number: _____
Hailing Port: _____
Principal Port: _____

Section 2 - VESSEL INFORMATION

Vessel Length:	_____	Dredge Size:	_____
Crew Size:	_____	Number of Dredges:	_____
Gross Tonnage:	_____	Pump Horsepower	_____
Year Built:	_____	Construction:	_____
Main Engine HP:	_____	Propulsion:	_____
Fish Hold Capacity:	_____	No. Passengers for Charter or Party:	_____

Section 3 - FISHERY INFORMATION

Fishery	Category	Gear(s)
Sea Scallops	_____	_____
NE Multispecies	_____	_____
Monkfish	_____	_____
Surfclam	_____	_____
Ocean Quahog	_____	_____
Summer Flounder	_____	_____
Scup	_____	_____
Black Sea Bass	_____	_____
Squid/Mack/Butterfish	_____	_____
Spiny Dogfish	_____	_____
Atlantic Bluefish	_____	_____
Atlantic Herring	_____	_____
American Lobster	_____	_____

Section 4 - OWNER'S SIGNATURE

I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named in Section 1 above. I affirm, subject to the penalties provided in 18 USC 1001, that all information I have given in obtaining this permit is true and correct.

Previously permitted
vessel permit # _____

Signature: _____

Print Name: _____

01.09.01

Date: _____

OMB# 0648-0202 EXP 11-30-2000